

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## FILING COMPLETION UNDER RULE 53(f)

( NOT PCT Applications)

For Design, Provisional, or Utility Applications

PATENT  
APPLICATION**COMPLETION Under  
Rule 53(f)**

In re PATENT APPLICATION of

Inventor(s): TSUJU et al.

Appln. No.:

09

886,475

Atty.Dkt.

P

281417

M#

EL01003CDC

Client Ref

Filed: June 22, 2001

Title: MANAGING APPARATUS AND MANAGING METHOD OF A SEMICONDUCTOR MANUFACTURING APPARATUS

Attn: Application Division

Hon. Commissioner of Patents  
Washington,DC 20231Date: October 15, 2001= Monday  
October 14, 2001= SundaySir:  
The following completes the filing under Rule 53(f) of the above-identified patent application:

1.  Notice to File Missing Parts       copy attached       not yet received
2.  Signed Declaration attached.       Original       Facsimile/Copy
- (Always "X" box 2 if filing signed Declaration and  
 "X" box 2A only if top box of the Declaration is X'd and file application copy, or  
 "X" box 2B only if none of the top three boxes of the Declaration is X'd.)
- 2A.  Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B.  The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
3.  Specification originally filed in non-English language; hence verified translation attached of:  
 a.  Abstract  
 b. # 61  pages of Specification(only spec. & claims)  
 c.  Drawing(s) 1-15  
     No of Sheets  
     Fig(s). 1-15

4.  Letter filing formal drawing attached.5.  Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.6. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) PCT/JP01/04318	May 23, 2001	(2)	
(3)		(4)	
(5)		(6)	

7. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan

8.

Application No.	Filing Date	Application No.	Filing Date
(1) 2000-153152	May 24, 2000	(2)	
(3)		(4)	
(5)		(6)	



(No.) Certified copy (copies):  attached;  previously filed (date) \_\_\_\_\_  
in U.S. Application No. / filed on \_\_\_\_\_

10. Small Entity Status   is Not claimed  is claimed (file PAT-256 if this is the first claim of Small Entity Status)
11.  Attached:
12.  Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

			Large/Small Entity	Fee Code
13. Basic Filing Fee .....	Design Application Not Design Application	\$330/\$165 \$740/\$370	+740	106/26 101/201
14. Total Effective Claims 144	minus 20 = 124	x \$18/\$9	+2232	103/203
15. Independent Claims 2	minus 3 = 0	x \$84/\$42	+0	102/202
16. If any proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)		\$280/\$140	+280	104/204
17. Surcharge for filing Declaration/filing fee late		\$130/\$65	+130	105/205
FILING FEE ENCLOSED =			<b>\$3382</b>	
19. Original due date: October 14, 2001				
20. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached	(1 mo) (2mos) (3mos) (4mos)	\$110/\$55 = \$400/\$200 = \$920/\$460 = \$1,440/\$720 =	+0	115/215 116/216 117/217 118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee .....		\$130	+130	139
22. If "assignment" box 5 is X'd, add recording fee .....		\$40	+40	581
23. Petition Fee for		\$130	+0	
24.	TOTAL FEE ENCLOSED =			<b>\$3552</b>

Our Deposit Account No. 03-3975

Our Order No. 40258

C#

281417

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or Insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP  
Intellectual Property Group

By Atty: Dale S. Lazar

Reg. No. 28872

Sig:

Fax: (703) 905-2500  
Tel: (703) 905-2126

Atty/Sec: DSL/jtg

**NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments**



## UNITED STATES PATENT AND TRADEMARK OFFICE

See Mr. S

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20531  
www.uspto.gov

**RECEIVED**

APPLICATION NUMBER	FILING RECEIPT DATE	TRADENAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/886,475	09/12/2001	BABRAHAI, MULUJU	P 028 1417 EL01003CDC

00909  
PILLSBURY WINTHROP LLP  
1600 TYSONS BOULEVARD  
MCLEAN, VA 22102

AUG 20 2001

CONFIRMATION NO. 4933

CL# 40255 MT# 283417  
 ATTY(S) DSL \*OC00000006422719\*  
 DUE: 10-14-01  
 DKT BY (1) LEH (2) DMW

Date Mailed: 08/14/2001



SCANNED, # 10

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- The balance due by applicant is \$ 970.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

10/17/2001 BABRAHAI 00000020 09886475

01 FC:101	740.00
02 FC:105	130.00 OP
03 FC:139	130.00 OP
04 FC:103	2232.00 OP
05 FC:104	280.00 OP

*A copy of this notice **MUST** be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

SCANNED, # 12

VERIFICATION OF TRANSLATION

I, Tadahiko Itoh, a Patent Attorney of Tokyo, Japan having my office at 32nd Floor, Yebisu Garden Place Tower, 20-3, Ebisu 4-chome, Shibuya-ku, Tokyo 150-6032, Japan, am the translator of the documents attached and I state that the following is a true English translation to the best of my knowledge and belief of United States Patent Application filed July 17, 2001, Attorney's Docket No.DSL/040258/0281417/TSUJI in the Japanese language.

DATED this 9th day of October, 2001

Signature of translator



Tadahiko Itoh

Patent Attorney

Itoh International Patent Office,  
32nd Floor, Yebisu Garden Place Tower,  
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